A Developed ESPRIT for Moving Target 2D-DOAE

Youssef Fayad, Member, IAENG, Caiyun Wang, and Qunsheng Cao

Abstract— In this paper a modified ESPRIT algorithm, which bases on a time subspace concept (T-ESPRIT) to estimate 2D-DOA (azimuth and elevation) of a radiated source, that can increase the estimation accuracy with low computational load is introduced. Moreover, in order to upgrade the estimation accuracy, the DOAE is corrected with Doppler frequency (f_d) which induced by target movement. The efficacy of the proposed algorithm is verified by using the Monte Carlo simulation; the DOAE accuracy has been evaluated by the closed-form Cramér–Rao bound (CRB). The proposed algorithm shows the estimated results better than those of the normal ESPRIT methods improving the estimator performance.

Index Terms-DOAE, Subspace, ESPRIT, Doppler Effect.

I. INTRODUCTION

Estimating of direction-of-arrival (DOAE) is a very important process in radar signal processing applications. DOAE is the creator of the tracking gate dimensions (the azimuth and the elevation) in the tracking while scan radars (TWS). Accurate DOAE leads to reduce the angle glint error which affects the accuracy of the tracking radars. The ESPRIT and its extracts have been widely studied in one-dimensional (1D) DOAE for uniform linear array (ULA), non-uniform linear array (NULA) [1]-[11], and also extended to two-dimensional (2D) DOAE [12]-[20]. All of these ESPRIT methods have been developed to upgrade the accuracy of estimation or decrease the calculation costs. The main challenge facing these methods is the high computational load for more DOAE accuracy. Furthermore, these works did not study the effect of the Doppler frequency on the DOAE accuracy.

This paper presents a new modified algorithm based on time subspace (T-ESPRIT) [1], [2] to estimate the 2D-DOA in a co-located planar array. T-ESPRIT method reduces the model non-linearity effect by picking the data points enclosed by each snapshot. It also reduces the computational load via processing the temporal subspaces in parallel which leads to shrink the covariance matrix dimension.

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Finally, the effect of Doppler frequency on the T-ESPRIT method has been derived in order to reduce the effect of target maneuver on the DOAE accuracy, which consequently reduces the target trajectory estimation errors and improves the radar angular resolution. Thus, the proposed method enhances the estimation accuracy and reduces computational complexity [21], [22].

The reminder of the paper is organized as follows. In Section II, the 2D T-ESPRIT DOAE technique has been introduced and the Doppler Effect on DOAE process has been derived. The simulation results are presented in section III. And section IV is conclusions.

II. PROPOSED ALGORITHM

A. The Measurement Model

In this model, the transmission medium is assumed to be isotropic and non-dispersive, so that the radiation propagates in straight lines, and the sources are assumed as a far-field away the array. Consequently, the radiation impinging on the array is a summation of the plane waves. The signals are assumed to be narrow-band processes, and they can be considered to be sample functions of a stationary stochastic process or deterministic functions of time. Considering there are K narrow-band signals, and the center frequency (ω_0) is assumed to be the same, for the k^{th} signal can be written as,

$$s_k(t) = E_k e^{j(\omega_0 t + \Psi_k)}, k = 1, 2, ..., K$$
 (1)

where, $s_k(t)$ is the signal of the k^{th} emitting source at time instant t, Ψ_k is the carrier phase angles are assumed to be random variables, each uniformly distributed on $[0,2\pi]$ and all statistically independent of each other, and E_k is the incident electric field, can be written as components form. As a general expression, we omit the subscript, then

$$\vec{E} = E_{\theta}\hat{e}_{\theta} + E_{\varphi}\hat{e}_{\varphi} \tag{2}$$

where E_{φ} and E_{θ} are the horizontal and the vertical components of the field, respectively.

Defining $\gamma \in [0, \pi/2]$ as the auxiliary polarization angle, $\eta \in [-\pi, \pi]$ as the polarization phase difference, then,

$$E_{\varphi} = |\vec{E}| \cos \gamma, E_{\theta} = |\vec{E}| \sin \gamma e^{j\eta}.$$
(3)

The incident field can be also expressed in Cartesian coordinate system,

$$\vec{E} = E_{\theta}\hat{e}_{\theta} + E_{\varphi}\,\hat{e}_{\varphi} = (E_{\theta}\cos\theta\cos\varphi - E_{\varphi}\sin\varphi)\hat{e}_{x} + (E_{\theta}\cos\theta\sin\varphi + E_{\varphi}\cos\varphi)\hat{e}_{y} + (E_{\theta}\sin\theta)\hat{e}_{z}$$
(4)

Fig. 1 shows a planar antenna array has elements indexed *L*, *I* along *y* and *x* directions, respectively. For any pairs (i, l), its coordinate is $(x, y) = ((i-1) \Delta_x, (l-1) \Delta_y)$, where i=1,...,I, l=1,...,L, Δ_x and Δ_y are reference displacements between neighbor elements along *x* and *y* axis. The array

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elements are oriented in *xoy* plane, the space phase factors along *x* and *y* directions are expressed as,

$$p_i(\theta_k, \varphi_k) \equiv p_i^k = e^{j\frac{2\pi(i-1)\Delta_x}{\lambda}\sin\theta_k\cos\varphi_k}$$
(5a)

$$q_{l}(\theta_{k},\varphi_{k}) \equiv q_{l}^{k} = e^{j\frac{2\pi(l-1)\Delta_{y}}{\lambda}\sin\theta_{k}\sin\varphi_{k}}$$
(5b)



Fig. 1 Planar antenna array

where, (θ_k, φ_k) denote the k^{th} source elevation angle and azimuth angle respectively, and λ is the wavelength of the k^{th} signal. The measurement vector can be expressed as,

$$z_{i,l}(t) = \sum_{k=1}^{K} u_k s_k(t) p_l(\theta_k, \varphi_k) q_l(\theta_k, \varphi_k) + w_{i,l}(t)$$
(6a)

 $[Z(t)] = \left[z_{1,1}(t) \cdots z_{1,L}(t) \cdots z_{l,1}(t) \cdots z_{l,L}(t) \right]^{T}$

(6b)

where [W(t)] stands for the additive white Gaussian noise (AWGN), it is consisted as,

$$[W(t)] = \begin{bmatrix} w_{1,1}(t) \cdots w_{1,L}(t) \cdots w_{I,1}(t) \cdots w_{I,L}(t) \end{bmatrix}^T (7)$$

From (3) and (4), we got

$$u_{k} = \begin{pmatrix} \sin \gamma_{k} \cos \theta_{k} \cos \varphi_{k} e^{j\eta_{k}} - \cos \gamma_{k} \sin \varphi_{k} \\ \sin \gamma_{k} \cos \theta_{k} \sin \varphi_{k} e^{j\eta_{k}} + \cos \gamma_{k} \cos \varphi_{k} \end{pmatrix}$$
(8)

For receiving array, the whole receiving factors in subspaces matrix are included in $[a(\theta_k, \varphi_k)]$ that is,

$$a(\theta_k, \varphi_k) \stackrel{\text{\tiny def}}{=} p(\theta_k, \varphi_k) \otimes q(\theta_k, \varphi_k) \tag{9}$$

where \otimes denotes the Kronker product, so

$$A(\theta_{k}, \varphi_{k}) = [u_{k}^{T} p_{1}^{k} q_{1}^{k} u_{k}^{T} p_{1}^{k} q_{2}^{k} \dots u_{k}^{T} p_{1}^{k} q_{L}^{k} u_{k}^{T} p_{2}^{k} q_{1}^{k} u_{k}^{T} p_{2}^{k} q_{2}^{k} \dots u_{k}^{T} p_{2}^{k} q_{L}^{k} \dots u_{k}^{T} p_{l}^{k} q_{1}^{k} u_{k}^{T} p_{l}^{k} q_{2}^{k} \dots u_{k}^{T} p_{l}^{k} q_{L}^{k}]^{T}$$
(10)

The receiving model can be rewritten as,

$$[Z(t)] = [A]S(t) + [W(t)]$$
(11)

where
$$S(t) \stackrel{\text{def}}{=} [s_1(t) \cdots \cdots s_K(t)]^T$$

and, $[A] \stackrel{\text{def}}{=} [A(\theta_1 \varphi_1) \cdots \cdots A(\theta_K, \varphi_K)]$

The subspace approach not only decreases the computational load as a result of shrinking the matrix dimensions, but it also reduces the influence of non-linearity when deals with signal inside each small time step as a linear part.

For the electronic scanning beam (ESB) with width W_{az} scans β sector; the dwell interval T_D is obtained as follows [23],

$$T_D = \frac{W_{az} \times 60}{\beta \times \vartheta} \tag{12}$$

where ϑ is the number of scans per minute,

Number of hits =
$$T_D \times PRF$$
 (13)

where *PRF* is the pulse repetition frequency.

Using the T-ESPRIT method, the whole data is divided into *M* snapshots each shares *T* seconds. Then, it picks up enough *r* data points enclosed by each snapshot *m* with time period $\tau = \frac{T}{r}$ as short as possible. So, from (11) each receiving signal measurement value through *m*th subspace is given as,

$$[z^{m}(\tau)] = [A]s^{m}(\tau) + [w^{m}(\tau)]$$
(14)

The index *m* runs as m = 1, 2, ..., M snapshots. Therefore, the whole data matrix can be expressed as,

$$\mathbf{Z} \stackrel{\text{def}}{=} \begin{bmatrix} \mathbf{z}_{1,1}^{1}(0) & \dots & \mathbf{z}_{1,1}^{1}(\tau_{1}) \dots \dots \mathbf{z}_{l,1}^{m}(0) & \dots & \mathbf{z}_{l,1}^{m}(\tau_{1}) \dots \dots \mathbf{z}_{l,1}^{M}(0) & \dots & \mathbf{z}_{l,1}^{M}(\tau_{1}) \\ \vdots & \ddots & \vdots & \vdots & \ddots & \vdots & \vdots \\ \mathbf{z}_{l,L}^{1}(0) & \dots & \mathbf{z}_{l,L}^{1}(\tau_{1}) \dots \dots \mathbf{z}_{l,L}^{m}(0) & \dots & \mathbf{z}_{l,L}^{m}(\tau_{1}) \dots \dots \mathbf{z}_{l,L}^{M}(0) & \dots & \mathbf{z}_{l,L}^{M}(\tau_{1}) \end{bmatrix}$$

$$(15)$$

where, $\tau_1 = (r - 1) \times \tau$.

The dimension of [Z] for the k^{th} signal is $2(I \cdot L \cdot Mr)$. For the m^{th} subspace data matrix can be expressed as,

$$[z^{m}(\tau)] = \begin{bmatrix} z_{1,1}^{m}(0) & \dots & z_{1,1}^{m}(\tau_{1}) \\ \vdots & \ddots & \vdots \\ z_{l,L}^{m}(0) & \dots & z_{l,L}^{m}(\tau_{1}) \end{bmatrix}$$
(16)

For T-ESPRIT scheme, the ESPRIT algorithm is used in an appropriate picked data represented in (15) for each (m)subspace - shown in (16) - in parallel for the same sampling accuracy thus reducing the calculations load and consequently saving time is achieved. Fig. 2 is the time baseline and the time series which indicates the subspace approach. Fig. 3 is given the diagram of a 2D T-ESPRIT method.





Fig. 2 (a) Time- baseline, (b) total signal in time series, (c) signal in 25th snapshot.



Fig. 3 Planar Array T-ESPRIT Technique

As shown in Fig.2 (a) and from (12), (13), the TWS radar with 4 hits, $\tau_p=0.25$ µsec, and $T_b=250$ µsec has $T_D=1$ msec. Moreover, after the radar pulse integration [24] the total signal time is 1 µsec. according to the Nyquist law the whole signal is divided to M=25 snapshots as shown in Fig.2 (b), and each shares T = 0.04 µsec, then it picks up data points enclosed by each snapshot with time period $\tau = 0.002$ µsec as shown in Fig.2 (c).

B. T-ESPRIT method for 2-D DOAE

The ESPRIT algorithm is based on a covariance formulation that is,

$$\hat{R}_{zz} \stackrel{\text{\tiny def}}{=} E[Z(\tau)Z(\tau)^H] = A\hat{R}_{ss}A^H + \sigma^2 \Sigma_w \tag{17}$$

$$\widehat{R}_{ss} = E[S(\tau)S^{H}(\tau)]$$
(18)

where \hat{R}_{zz} is the correlation matrix of the array output signal matrix, \hat{R}_{ss} is the autocorrelation matrix of the signal. The subscript *H* denotes the complex conjugate transpose.

The correlation matrix of \hat{R}_{zz} can be done for eigenvalue decomposition as follows,

$$\hat{R}_{zz} \stackrel{\text{\tiny def}}{=} \hat{E}_{S} \Lambda \hat{E}_{S}^{H} + \sigma^{2} \hat{E}_{N} \Lambda \hat{E}_{N}^{H}$$
(19)

Where the eigenvalues are ordered,

 $\lambda_1 > \lambda_2 > \cdots > \lambda_K > \cdots \lambda_{2(I \times L)}, \lambda_{K+1} = \cdots = \lambda_{2(I \times L)} = \sigma^2.$ The eigenvectors $\hat{E}_S = [\hat{e}_1, \hat{e}_2, \cdots, \hat{e}_K]$ for largest K

eigenvalues spans the signal subspace, the rest $2(I \times L) - K$ smallest eigenvalues $\hat{E}_N = [\hat{e}_{K+1}, \cdots, \hat{e}_{2(I \times L)}]$ spans the noise subspace which is orthogonal to the signal subspace. Therefore, there exists a unique nonsingular matrix Q, such that,

$$\hat{E}_{S} = [A]Q = [u_{k}^{T} \otimes p_{i}(\theta_{k}, \varphi_{k}) \otimes q_{l}(\theta_{k}, \varphi_{k})]Q$$
(20)

In (10) let A_{P1} and A_{P2} be the first and the last $2L \times (I-1)$ rows of A respectively, they differ by the factor $\Delta p_k = e^{j\frac{2\pi\Delta_x}{\lambda}\sin\theta_k\cos\varphi_k}$ along the x direction. So $A_{P2} = A_{P1}\phi_P$, where ϕ_P is the diagonal matrix with diagonal elements Δp_k . Consequently, \hat{E}_{P1} and \hat{E}_{P2} will be the first and the last $2L \times (I-1)$ sub-matrices formed from \hat{E}_S . Then the diagonal elements p_k of ϕ_P are the eigenvalues of the unique matrix $\Psi_P = Q^{-1}\phi_P Q$, that satisfies,

$$\hat{E}_{P2} = \hat{E}_{P1} \Psi_P. \tag{21}$$

Similarly, the two $2I \times (L-1)$ sub-matrices A_{q1} and A_{q2} consist of the rows of A numbered $2L \times (i-1) + l$ and $2L \times (i-1) + l + 2$ respectively, differ by the space factors $\Delta q_k = e^{j\frac{2\pi\Delta y}{\lambda}\sin\theta_k\sin\phi_k}$ along the y direction, l=l,...,2(L-1). Then $A_{q2} = A_{q1}\phi_q$ where ϕ_q is the diagonal matrix with diagonal elements Δq_k . Consequently, \hat{E}_S forms the $2I \times (L-1)$ two sub-matrices \hat{E}_{q1} and \hat{E}_{q2} . Then the diagonal elements Δq_k of ϕ_q , are the eigenvalues of the unique matrix $\Psi_q = Q^{-1}\phi_q Q$, that satisfies,

$$\hat{E}_{q2} = \hat{E}_{q1} \Psi_q \tag{22}$$

Therefore, the arrival angles (θ_k, φ_k) can be calculated as:

$$\theta_{k} = \sin^{-1} \left\{ \frac{\lambda}{2\pi} \left[\left(\frac{\arg(\Delta p_{k})}{\Delta_{x}} \right)^{2} + \left(\frac{\arg(\Delta q_{k})}{\Delta_{y}} \right)^{2} \right]^{1/2} \right\}$$
(23)

$$\varphi_k = tan^{-1} \left[\frac{\Delta_x}{\Delta_y} \cdot \frac{arg(q_k)}{arg(p_k)} \right]$$
(24)

C. Doppler frequency effect on the estimation process

The moving target echo signal is shifted by the Doppler Effect. The more accurate T-ESPRIT algorithm should consider the effect of the Doppler frequency shift due to the target movement. So for the co-located planar array,

$$\arg(\Delta p_k) = \frac{2\pi}{\lambda_x} \,\Delta_x \sin\theta_k \cos\varphi_k \tag{25}$$

$$\arg(\Delta q_k) = \frac{2\pi}{\lambda_y} \,\Delta_y \sin\theta_k \sin\varphi_k \tag{26}$$

where λ_x and λ_y are the wavelength components of the received wave into antenna plane and differ from the

transmitted wavelength because of the Doppler frequency f_d caused by the target moving velocity \vec{v}_s [25], [26], [27]. As shown in Fig. 4, it is obvious that the wavelength $\hat{\lambda}_x$ and $\hat{\lambda}_y$ have expressions caused by the velocity components v_x and v_y ,

$$\hat{\lambda}_{\mathbf{x}} = \frac{\lambda(\mathbf{c} + \mathbf{v}_{\mathbf{x}})}{\mathbf{c}} \tag{27}$$

$$\hat{\lambda}_y = \frac{\lambda(c + \nu_y)}{c} \tag{28}$$



Fig. 4 Target linear velocity components into antenna plane

Substituting into (23)-(24), then,

$$\arg(\Delta p_k) = \frac{2\pi c}{\lambda(c+v_x)} \,\Delta_x \sin\theta_k \cos\varphi_k \tag{29}$$

$$\arg(\Delta q_k) = \frac{2\pi c}{\lambda(c+\nu_y)} \,\Delta_y \sin\theta_k \sin\varphi_k \tag{30}$$

where,

$$v_x = |v_s| \cos \alpha \sin \theta_k \cos \varphi_k \tag{31}$$

$$v_{y} = |\vec{v}_{s}| \cos \alpha \sin \theta_{k} \sin \varphi_{k} \tag{32}$$

And,

$$|\vec{v}_s| = \frac{c \cdot f_d}{2f \cdot \cos \alpha} \tag{33}$$

where α is defined as the angle between the direction of propagation and the target velocity vector \vec{v}_s , the value of α changes f_d sign indicating the target direction toward or away from the antenna position. From (29)-(32) the arrival angles (θ_k, φ_k) can be fine estimated from $(arg(p_k), arg(q_k))$ as follows,

$$\theta_{k} = \sin^{-1} \left\{ \left[\left(\frac{c \cdot \arg(\Delta p_{k})}{(2\pi c \Delta_{x}/\lambda) - \arg(\Delta p_{k}) \cdot |\vec{v}_{s}| \cos \alpha} \right)^{2} + \left(\frac{c \cdot \arg(\Delta q_{k})}{(2\pi c \Delta_{y}/\lambda) - (\arg(\Delta q_{k}) \cdot |\vec{v}_{s}| \cos \alpha} \right)^{2} \right]^{1/2} \right\}$$
(34)
$$\varphi_{k} = tan^{-1} \left[\frac{\arg(\Delta q_{k})}{(2\pi c \Delta q_{k})} \right]^{1/2} = tan^{-1} \left[\frac{\arg(\Delta q_{k})}{(2\pi c \Delta q_{k})} \right]^{1/2} = tan^{-1} \left[\frac{\arg(\Delta q_{k})}{(2\pi c \Delta q_{k})} \right]^{1/2} = tan^{-1} \left[\frac{\arg(\Delta q_{k})}{(2\pi c \Delta q_{k})} \right]^{1/2} = tan^{-1} \left[\frac{\arg(\Delta q_{k})}{(2\pi c \Delta q_{k})} \right]^{1/2} = tan^{-1} \left[\frac{\arg(\Delta q_{k})}{(2\pi c \Delta q_{k})} \right]^{1/2} = tan^{-1} \left[\frac{\arg(\Delta q_{k})}{(2\pi c \Delta q_{k})} \right]^{1/2} = tan^{-1} \left[\frac{\arg(\Delta q_{k})}{(2\pi c \Delta q_{k})} \right]^{1/2} = tan^{-1} \left[\frac{\arg(\Delta q_{k})}{(2\pi c \Delta q_{k})} \right]^{1/2} = tan^{-1} \left[\frac{\arg(\Delta q_{k})}{(2\pi c \Delta q_{k})} \right]^{1/2} = tan^{-1} \left[\frac{\arg(\Delta q_{k})}{(2\pi c \Delta q_{k})} \right]^{1/2} = tan^{-1} \left[\frac{\arg(\Delta q_{k})}{(2\pi c \Delta q_{k})} \right]^{1/2} = tan^{-1} \left[\frac{\arg(\Delta q_{k})}{(2\pi c \Delta q_{k})} \right]^{1/2} = tan^{-1} \left[\frac{\arg(\Delta q_{k})}{(2\pi c \Delta q_{k})} \right]^{1/2} = tan^{-1} \left[\frac{\arg(\Delta q_{k})}{(2\pi c \Delta q_{k})} \right]^{1/2} = tan^{-1} \left[\frac{\arg(\Delta q_{k})}{(2\pi c \Delta q_{k})} \right]^{1/2} = tan^{-1} \left[\frac{\arg(\Delta q_{k})}{(2\pi c \Delta q_{k})} \right]^{1/2} = tan^{-1} \left[\frac{\arg(\Delta q_{k})}{(2\pi c \Delta q_{k})} \right]^{1/2} = tan^{-1} \left[\frac{\arg(\Delta q_{k})}{(2\pi c \Delta q_{k})} \right]^{1/2} = tan^{-1} \left[\frac{\arg(\Delta q_{k})}{(2\pi c \Delta q_{k})} \right]^{1/2} = tan^{-1} \left[\frac{\arg(\Delta q_{k})}{(2\pi c \Delta q_{k})} \right]^{1/2} = tan^{-1} \left[\frac{\arg(\Delta q_{k})}{(2\pi c \Delta q_{k})} \right]^{1/2} = tan^{-1} \left[\frac{\arg(\Delta q_{k})}{(2\pi c \Delta q_{k})} \right]^{1/2} = tan^{-1} \left[\frac{\arg(\Delta q_{k})}{(2\pi c \Delta q_{k})} \right]^{1/2} = tan^{-1} \left[\frac{\arg(\Delta q_{k})}{(2\pi c \Delta q_{k})} \right]^{1/2} = tan^{-1} \left[\frac{\arg(\Delta q_{k})}{(2\pi c \Delta q_{k})} \right]^{1/2} = tan^{-1} \left[\frac{\arg(\Delta q_{k})}{(2\pi c \Delta q_{k})} \right]^{1/2} = tan^{-1} \left[\frac{\arg(\Delta q_{k})}{(2\pi c \Delta q_{k})} \right]^{1/2} = tan^{-1} \left[\frac{\arg(\Delta q_{k})}{(2\pi c \Delta q_{k})} \right]^{1/2} = tan^{-1} \left[\frac{\arg(\Delta q_{k})}{(2\pi c \Delta q_{k})} \right]^{1/2} = tan^{-1} \left[\frac{\arg(\Delta q_{k})}{(2\pi c \Delta q_{k})} \right]^{1/2} = tan^{-1} \left[\frac{\arg(\Delta q_{k})}{(2\pi c \Delta q_{k})} \right]^{1/2} = tan^{-1} \left[\frac{\arg(\Delta q_{k})}{(2\pi c \Delta q_{k})} \right]^{1/2} = tan^{-1} \left[\frac{\arg(\Delta q_{k})}{(2\pi c \Delta q_{k})} \right]^{1/2} = tan^{-1} \left[\frac{\arg(\Delta q_{k})}{(2\pi c \Delta q_{k})} \right$$

$$\varphi_{k} = \tan^{-1} \left[\frac{\arg(\Delta p_{k})}{\arg(\Delta p_{k})} \cdot \frac{(2\pi c \Delta_{x}/\lambda) - \arg(\Delta p_{k}) \cdot |\vec{v}_{s}| \cos \alpha}{(2\pi c \Delta_{y}/\lambda) - \arg(\Delta q_{k}) \cdot |\vec{v}_{s}| \cos \alpha} \right]$$
(35)

It is obviously found from (34), (35) that if $\vec{v}_s = 0$, it will realize for a stationary target indicated in (23), (24).

III. CRAMÉR-RAO BOUNDS (CRB) FOR THE 2D CASE

The Cramér–Rao bound has provided more accuracy achievable by any unbiased estimator of signal parameters and fundamental physical limit on system accuracy [28], [29]. For Gaussian process, M snapshots, and response $A(\theta, \varphi)$ corresponding sensors $I \times L$, it has,

$$P(Z/(\theta,\varphi)) = \frac{1}{\sqrt{2\pi\delta^2}} e^{\frac{\sum_{m=1}^{M} - (Z_m - S_m A(\theta,\varphi))(Z_m - S_m A(\theta,\varphi))^T}{2\delta^2}}$$
(36)

Substitute with

$$F_m = Z_m - S_m A(\theta, \varphi) \tag{37}$$

Then we have,

$$\ln P(Z|(\theta,\varphi)) = \ln \frac{1}{\sqrt{2\pi\delta^2}} - \frac{1}{2\delta^2} \sum_{m=1}^{M} (F_m) (F_m)^T \quad (38)$$

To get the CRB we first calculate $E\left[\frac{\partial^2 \ln P(Z/(\theta,\varphi))}{\partial \theta \partial \varphi}\right]$, which denotes the expected value (sample mean)

$$E\left[\frac{\partial^2 \ln P(Z/(\theta,\varphi))}{\partial \theta \, \partial \varphi}\right] = -\frac{M}{\sigma^2} \cdot E\left[\begin{pmatrix}\frac{\partial^2 J}{\partial \theta^2} & \frac{\partial^2 J}{\partial \theta \partial \varphi}\\\frac{\partial^2 J}{\partial \varphi \partial \theta} & \frac{\partial^2 J}{\partial \varphi^2}\end{pmatrix}\right]$$
(39)

where $J = \frac{1}{2}F_m F_m^T$.

For simplicity let F_m denoted by F,

$$\frac{\partial J}{\partial \theta} = \frac{\partial J}{\partial F} \frac{\partial F}{\partial \theta} + \frac{\partial J}{\partial F^{T}} \frac{\partial F^{T}}{\partial \theta}, \frac{\partial J}{\partial \varphi} = \frac{\partial J}{\partial F} \frac{\partial F}{\partial \varphi} + \frac{\partial J}{\partial F^{T}} \frac{\partial F^{T}}{\partial \varphi}$$
(40)
$$FIM = -E \left[\frac{\partial^{2} \ln P(Z|(\theta,\varphi))}{\partial \theta \partial \varphi} \right]$$
(41)

Where *FIM* expresses the Fisher Information Matrix. Substitute from (37), (40) then into (39) and (41), we got,

$$FIM = 2M \left(\frac{s}{\delta}\right)^2 Real \begin{bmatrix} \frac{\partial \left(A^T(\theta,\varphi)\right)}{\partial \theta} \frac{\partial \left(A(\theta,\varphi)\right)}{\partial \theta} & \frac{\partial \left(A^T(\theta,\varphi)\right)}{\partial \varphi} \frac{\partial \left(A(\theta,\varphi)\right)}{\partial \theta} \\ \frac{\partial \left(A^T(\theta,\varphi)\right)}{\partial \theta} \frac{\partial \left(A(\theta,\varphi)\right)}{\partial \varphi} & \frac{\partial \left(A^T(\theta,\varphi)\right)}{\partial \varphi} \frac{\partial \left(A(\theta,\varphi)\right)}{\partial \varphi} \end{bmatrix}$$

$$(42)$$

Finally, we have,

$$CRB = [FIM]^{-1} \tag{43}$$

IV. SIMULATION RESULTS

Considering the 2D-DOAE process with AWGN, the parameters are given $T_D=1$ msec, $\beta = 90^{\circ}$, $W_{az} = 1.5^{\circ}$, number of hits = 4, $\vartheta = 1000$ scan/min , number of hits = 4, $v_s = 250$ m/sec , f = 3 GHz , $\alpha = 0^{\circ}$ ($f_d=5000$ Hz), and $f_s = 25$ MHz. Assuming total 25 temporal snapshots, pickup enclosed data r = 20 times, 200 independent Monte Carlo simulations, and SNR = -5 to15dB. In order to validate the T-ESPRIT method, it has used in the planar case with different number of elements, such as (I, L) = (5, 5) and (6, 6) with displacement values $\Delta_x = \Delta_y = \lambda/2$, with $\theta=45^{\circ}$ and $\varphi=60^{\circ}$. Figs. 5 (a) and (b) are depicted the subspace DOAE process. It is found that the computational load was reduced as a result of reducing the measurement

matrix dimension to $(2IL \times r)$ instead of $(2IL \times Mr)$ and employ the subspaces parallel processing concept. Table I represents the computational time and complexity of the proposed method in term of number of flip-flops. It is obvious that the computational load has been reduced as a result of applying the ESPRIT algorithm on the captured rdata for each m subspace $[z^m(\tau)]_{(2IL \times r)}$ in parallel. It gives us a simultaneous processing for M subspaces with each has r snapshots instead of processing for one space has a large number of snapshots d, (d=Mr) snapshots.



time (µsec)/m (snapshot) (b)

0.02

0.025

0.03

0.035

0.04

Fig. 5 Implementation of the T-ESPRIT method for the PA for $\Delta_x = \Delta_y = \lambda/2$, (a) $\theta = 45^{\circ}$, (b) $\varphi = 60^{\circ}$

Figs. 6 (a, b) are plotted the T-ESPRIT RMSEs with different number of elements. The T-ESPRIT RMSEs with the Doppler correction for the same conditions is depicted in Fig. 6(b). Results in Fig. 6 (a) indicate that the T-ESPRIT errors are getting closer to the CRB due to increase the number of elements. Fig. 6 (b) indicates that the accuracy is upgraded by taking the Doppler Effect into account which decreases effectively the estimation errors and enables a high DOAE accuracy with fewer numbers of elements.

0.005

0.01

0.015



Fig. 6 The RMSEs of T-ESPRIT method for PA with different number of elements for $\Delta x= \Delta y=\lambda/2$, at $\theta=45^{\circ}$, $\phi=60^{\circ}$, (a) without; (b) with Doppler correction.

The accuracy improvement of the 2D-DOAE using proposed algorithm has been verified by comparing the resulted RMSEs with the RMSEs of different ESPRIT algorithms used in [15], [17], and [20]. Fig. 7 shows comparison between the proposed algorithm errors and errors of the 2D Beam ESPRIT, the ESPRIT-Like, and the Quaternion ESPRIT algorithms used in [15], [17], and [20] respectively.



Fig. 7 RMSEs vs. SNR for T-ESPRIT with Doppler correction and Beam space-ESPRIT methods

Comparison results displayed in Fig.7 show that the proposed algorithm has a better performance, especially at a low SNR. This upgrade has been realized firstly due to the DOAE increase of accuracy when using the T-ESPRIT algorithm applying the subspace approach which decreases the errors caused by the model non linearity effect, secondly due to Doppler correction, which reduces the DOAE uncertainty associated with effect of the target movement. For more validation, a comparison between true and estimated angles (θ, φ) of two moving targets each with

 $v_s = 250 \text{ m/sec}$ is showed at Fig. 8 and Fig. 9 respectively. These comparisons highlight the meaning of glint error reduction and its importance.



Fig. 8 The moving target elevation estimated angles



Fig. 9 The moving target azimuth estimated angles



Fig. 10 The moving target RMSEs during the flight course

Clearly we can figure out that the proposed algorithm makes the estimated angles more close to the real angles which means reducing of angles errors (up to 0.15° - 0.3°) as shown in Fig. 8 and Fig. 9. This reduction in errors improves the radar resolution, which increases the targets separation ability within the TWS radar system (e.g. for radar has an angular resolution $=0.75^{\circ}$ this error reduction improves the separation ability about 20%). It also leads to more accurate correlation and association processes in the TWS radar system [24]. Additionally, Fig. 10 illustrates the importance of Doppler correction where it is noticed that the maximum RMSEs of the target estimated locations during the flight course without implementing the proposed Doppler correction reach about 100 meter, but the implementation of the proposed Doppler correction method reduces it that its maximum value reach about 30 meter. Thus, the proposed Doppler correction method reduces the errors about 70 %, which improves the performance of the TWS radar system. Results in table I indicate that the proposed algorithm requires $O(M+4r(IL)^2+8(IL)^3)$ flops [30], while the conventional ESPRIT algorithm needs $O(4d(IL)^2 + 8(IL)^3)$ flops. Also it notes from this table that the developed ESPRIT algorithm requires only about 9.7% of the computational time than required in the classical ESPRIT algorithm. Simply, we can say that the developed ESPRIT method achieved success into increasing the DOAE accuracy with low computational load, which leads to improve the estimator efficacy and TWS radar system performance.

V. CONCLUSIONS

In this paper, a new ESPRIT method has been developed based on the subspace processing technology and time series to the DOAE, which realizes a simultaneous parallel processing, and reduces the non-linearity effect in the model. Firstly, the T-ESPRIT method has been used to solve the 2D-DOAE. Secondly, the T-ESPRIT method has been refined with Doppler frequency to upgrade the estimation accuracy leading to improve the TWS radar system ability for targets separation by about 20%. It has been found that the estimation accuracy has been increased with low computational load; the computational time has been also reduced about 90%, which, consequently, enhances the estimator performance.

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