# Optimal Power Flow Based on Novel Multi-objective Artificial Fish Swarm Algorithm

Gang Guo, Jie Qian<sup>\*</sup>, and Shuaiyong Li

Abstract—Computer technology provides new possibilities for handling the many-objective optimal power flow (MOOPF) problems with high-dimension and non-differentiability. As one of typical intelligent algorithms, the novel multi-objective artificial fish swarm algorithm (NMAFSA) is proposed to solve the MOOPF problems and realize the economical operation of power systems. The NMAFSA algorithm, which combines with optimal solution guidance (OSG) principle and non-inferior retention (NIR) mechanism, is effective to reduce the fuel cost, emission and power loss. Compared with the representative many-objective particle swarm optimization (MPSO) and non-dominated sorting genetic algorithm-II (NSGA-II), the superiority and adaptability of presented NMAFSA algorithm are validated. Six simulation trials are carried out on MATLAB software, including the dual-objective and triple-objective optimizations on three different scale power systems. Detailed results demonstrate that the suggested NMAFSA algorithm with stable-operation and fast-convergence has great potential to deal with the MOOPF problems more efficiently. Furthermore, the generation distance (GD) index also quantitatively proves that the NMAFSA algorithm can obtain the well-distributed Pareto front (PF).

*Index Terms*—Artificial fish swarm algorithm, Optimal power flow, Computer technology, Generation distance

#### I. INTRODUCTION

THE reasonable adjustment of controllable variables can optimize the running state of power system, which is helpful to achieve the operational safety and economical efficiency. As an essential method, the optimal power flow (OPF) is widely used in the economic dispatch of power system [1-3]. Besides, the many-objective OPF (MOOPF) problems, which consider the power loss, fuel cost and exhaust emission simultaneously, can evaluate the operation state of electric system more comprehensively.

However, traditional methods are unsuitable for solving MOOPF problems due to the non-convexity and non-linearity

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characteristics. Intelligent algorithm, a widely-applied computer technology, plays an important role in handling the high-dimensional MOOPF problems. For example, the efficient meta-heuristic algorithm [4], the quasi-oppositional cuckoo search algorithm [5] and the improved strength Pareto evolutionary algorithm [6] have successfully solved the MOOPF problems.

Artificial fish swarm algorithm (AFSA) with parallel processing capabilities can handle various practical problems such as the industrial problems [7] and the well trajectory optimization [8]. In this paper, taking the AFSA algorithm as main body and integrating the classification processing strategy to generate the proposed novel multi-objective AFSA (NMAFSA) algorithm. To escape from the local optimal solution and improve the optimization efficiency, the optimal solution guidance (OSG) principle and non-inferior retention (NIR) mechanism are integrated into the presented NMAFSA algorithm.

Based on MATLAB software, six MOOPF trials which aim to reduce the fuel cost, emission and power loss are solved by the suggested NMAFSA algorithm. In detail, the significant advantages of NMAFSA algorithm in dealing with MOOPF problems are powerfully validated by comparing with the many-objective particle swarm optimization (MPSO) which is one of the most popular algorithms and the non-dominated sorting genetic algorithm-II (NSGA-II) which is usually adopted as the performance evaluation benchmark.

#### II. MOOPF MODEL

The model of security-constrained MOOPF problem shown as  $(1) \sim (3)$  is formed by the optimization goals (*OGs*), equality restrictions (*ERs*) and inequality ones (*IRs*).

$$F_{OG} = (OG_1, \cdots, OG_i, \cdots, OG_W) \tag{1}$$

$$ER_{(k)} = 0, \quad k = 1, 2, \cdots, h$$
 (2)

$$IR_{(j)} \le 0, \quad j = 1, 2, \cdots, g$$
 (3)

where  $OG_i$  is the *i*th goal and W is the number of simultaneously-optimized objectives. *h* and *g*, respectively, indicate the numbers of *ER*s and *IR*s.

## A. OGs

The exhaust emissions ( $F_{EM}$ ), quadratic fuel cost ( $F_{BF}$ ) and active power loss ( $F_{AP}$ ) are studied in this paper. Besides, the fuel cost with valve-point effect ( $F_{FV}$ ) is also considered to further evaluate the performance of NMAFSA algorithm.

 $\blacktriangleright F_{EM}$  (ton/h)

$$F_{EM} = \sum_{i=1}^{N_G} [\alpha_i P_{Gi}^2 + \beta_i P_{Gi} + \gamma_i + \eta_i \exp(\lambda_i P_{Gi})]$$
(4)  
 
$$\blacktriangleright F_{BF} (\$/h)$$

$$F_{BF} = \sum_{i=1}^{N_G} (a_i + b_i P_{Gi} + c_i P_{Gi}^2)$$
(5)

$$F_{AP}(\mathbf{MW}) = F_{AP} = \sum_{k=1}^{N_L} c_k [V_i^2 + V_j^2 - 2V_i V_j \cos(\delta_i - \delta_j)]$$
(6)

$$F_{FV} (\$/h)$$

$$F_{FV} = \sum_{i=1}^{N_G} (a_i + b_i P_{Gi} + c_i P_{Gi}^2 + \left| d_i \times \sin(e_i \times (P_{Gi}^{\min} - P_{Gi})) \right|) (7)$$

where  $N_G$  and  $N_L$  are the numbers of generators and transmission lines. The other mentioned symbols can refer to references [9-11].

#### B. ERs

The active power balance equation (8) and the reactive one (9) constitute two *ERs* of MOOPF problems [9, 12-14].

$$P_{Gi} - P_{Di} - V_i \sum_{j \in N_i} V_j (G_{ij} \cos(\delta_i - \delta_j) + B_{ij} \sin(\delta_i - \delta_j)) = 0$$
(8)  

$$i \in N$$

$$Q_{Gi} - Q_{Di} - V_i \sum_{j \in N_i} V_j (G_{ij} \sin(\delta_i - \delta_j) - B_{ij} \cos(\delta_i - \delta_j)) = 0$$
(9)  

$$i \in N_{PO}$$

where N and  $N_{PQ}$  indicate the amount of system-nodes (except the slack one) and PQ nodes.

The *ER*s are used as the termination condition of Newton Raphson method. The acquisition of power flow solutions that do not violate any constraints naturally indicates that the *ER*s are satisfied.

C. IRs

Macroscopically, *IRs* can be divided into the constraints on independent variables and dependent ones.

## 1) IRs on Independent Variables

The independent variables include: 1) generator node voltage  $V_G$ , 2) generator active power output at PV node  $P_G$ , 3) tap ratios of transformer T, 4) reactive power injection  $Q_C$  [9, 14, 15]. The IRs on  $V_G$ ,  $P_G$ , T and  $Q_C$  are shown as (10) ~ (13).

$$V_{Gi}^{\min} \le V_{Gi} \le V_{Gi}^{\max}, i \in N_G$$

$$\tag{10}$$

$$P_{Gi}^{\min} \le P_{Gi} \le P_{Gi}^{\max}, i \in N_G(i \neq 1)$$

$$(11)$$

$$T_i^{\min} \le T_i \le T_i^{\max}, \ i \in N_T$$
(12)

$$Q_{Ci}^{\min} \le Q_{Ci} \le Q_{Ci}^{\max}, \ i \in N_C$$
(13)

where  $N_T$  and  $N_C$  are the numbers of transformers and compensators.

## 2) IRs on Dependent Variables

The dependent variables include: 1) load node voltage  $V_L$ , 2) generator active power at slack node  $P_{G1}$ , 3) generator reactive power  $Q_G$ , 4) apparent power of transmission line *S* [9, 14]. The *IRs* on  $V_L$ ,  $P_{G1}$ ,  $Q_G$  and *S* are shown as (14) ~ (17).

$$V_{Li}^{\min} \le V_{Li} \le V_{Li}^{\max}, \ i \in N_{PQ}$$

$$(14)$$

$$P_{G1}^{\min} \le P_{G1} \le P_{G1}^{\max}$$
 (15)

$$Q_{Gi}^{\min} \le Q_{Gi} \le Q_{Gi}^{\max}, \ i \in N_G$$
(16)

$$S_l^{\max} - S_l \ge 0, \ l \in N_l \tag{17}$$

## D. IRs Processing

The practicable power flow solutions obtained by NMAFSA algorithm should meet all system restrictions.

Therefore, adopting the appropriate treatment of *IRs* is very important to solve the MOOPF problems. In this paper, the elite dominant strategy with violation-consideration (EDSV) is proposed to pick out high-quality Pareto optimal set (POS).

Firstly, the dominant relationship of two different solutions is clarified based on the *IR* violation ( $IR_{vio}$ ) and *OG* values. It can be determined that the  $S_1$  solution is better than  $S_2$  whether condition (18) or (19) is satisfied.

$$IR_{vio}(S_1) < IR_{vio}(S_2) \tag{18}$$

$$\begin{cases}
IR_{vio}(S_1) = IR_{vio}(S_2) \\
OG_i(S_1) \le OG_i(S_2), \forall i \in \{1, 2, ..., W\} \\
OG_i(S_1) < OG_i(S_2), \exists j \in \{1, 2, ..., W\}
\end{cases}$$
(19)

Besides the  $R_{ank}$  index which can be determined by (18) and (19), the crowding distance ( $C_{dis}$ ) index is also used to judge the quality of two solutions with the same  $R_{ank}$  index. The  $C_{dis}$  is defined as formula (20) [9, 16-18].

$$C_{dis}(i) = \sum_{j=1}^{N} \frac{OG_{j}(i-1) - OG_{j}(i+1)}{OG_{j}^{\max} - OG_{j}^{\min}}$$
(20)

where N is the size of POS. The  $OG_j^{max}$  and  $OG_j^{min}$  indicate the largest and smallest values of the *j*th goal.

The ranking strategy in this paper is inspired by the non-inferior ranking method [16, 19, 20]. When determining the final POS set, the solutions with smaller  $R_{ank}$  are preferred, followed by the solutions with larger  $C_{dis}$ . The selection principle can be summed up as formulas (21) and (22).

$$R_{ank}(S_1) < R_{ank}(S_2) \tag{21}$$

$$\begin{cases} R_{ank}(S_1) = R_{ank}(S_2) \\ C_{dis}(S_1) > C_{dis}(S_2) \end{cases}$$
(22)

Based on  $R_{ank}$  and  $C_{dis}$  indicators, the flowchart of seeking satisfactory POS by proposed EDSV strategy is summarized as Fig. 1. Worthy of note is that, the candidate solution (CANS) set consists of the *N* solutions from the previous iteration and the *N* randomly-generated solutions.



Fig.1 Flowchart of seeking POS set

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## III. NMAFSA ALGORITHM

The preponderance of NMAFSA algorithm on MOOPF problems is verified by taking the MPSO and NSGA-II as two comparing algorithms. The applications of MPSO method on MOOPF problems can refer to literatures [9, 11] while the NSGA-II method can refer to literatures [21, 22].

The artificial fish swarm (AFSA) algorithm has good randomness, which has been widely concerned by many scholars [23-25]. However, when solving the MOOPF problems with non-convex feature, the basic AFSA algorithm with poor-performance is easy to be trapped by local optimums. Therefore, the OSG guidance and NIR retention strategies are proposed to generate the novel NMAFSA algorithm with better performance.

#### A. OSG Guidance Strategy

Different from the traditional AFSA algorithm, the foraging, clustering and random behavior of the proposed NMAFSA algorithm are based on the non-inferior layering mechanism. In this paper, the non-inferior layering mechanism defines the top  $\zeta_1$ % of POS set as the superior fish population and the bottom  $\zeta_2$ % as the inferior one. Specifically, the superior fish population engaged in foraging behavior while and the inferior population engaged in clustering behavior. The rest fish population adopts the randomly-updated way. Besides, both random and clustering behaviors are modified by OSG guidance strategy.

► Foraging

$$YPos(i) = Pos(i) + \frac{\beta_1 * \delta_{step}(Pos(j) - Pos(i))}{\|Pos(j) - Pos(i)\|}$$
(23)

 $i = 1, 2, \dots, \zeta_1 \% * N$ 

▶ Random behavior

$$YPos(i) = Pos(i) + \omega^{*}(Pos_{best} - Pos(i))$$
  

$$i = (\varsigma_{1} \%^{*} N + 1), (\varsigma_{1} \%^{*} N + 2), \dots, (1 - \varsigma_{2} \%)^{*} N$$
(24)

► Clustering

$$YPos(i) = Pos(i) + \frac{\beta_2 * (Pos_{best} - Pos(i))}{\|Pos_{best} - Pos(i)\|}$$
(25)  
$$i = ((1 - \zeta_2 \%) * N + 1), ((1 - \zeta_2 \%) * N + 2), \dots, N$$

where Pos(i) represents the position of the *i*th fish, that is, the control variable set of the *i*th power flow solution. The  $\delta_{step}$  indicates the moving step parameter while  $\omega$  ( $\omega \in (0,1)$ ) is the weight coefficient of random behavior. The  $\beta_1$  and  $\beta_2$  ( $\beta_1, \beta_2 \in (0,1)$ ) are two random-number arrays while  $Pos_{best}$  represents the position of current optimal fish.

The clustering and random behaviors based on OSG guidance mechanism can accelerate the speed of fish population approaching the best solution and improve the efficiency of NMAFSA algorithm.

## B. NIR Retention Strategy

After each location-updating based on foraging, random and clustering operations, the presented NIR retention strategy is used to verify the validity of current update. The proposed NMAFSA algorithm only keeps the better position which is superior to the current one. Otherwise, the current position remains unchanged. The NIR strategy is summarized as formula (26) and the dominant relationship of two fish individuals is clarified according to formulas (18) and (19).

$$Pos(i+1) = \begin{cases} YPos(i), & if YPos(i) \text{ dominates } Pos(i) \\ Pos(i), & otherwise \end{cases}$$
(26)

## C. NMAFSA Algorithm on MOOPF Problem

The NMAFSA algorithm, which extends single-objective optimization to multi-objective one, has great potential to solve the MOOPF problems. Table I shows the main steps for handling MOOPF problems by the suggested NMAFSA algorithm.

#### IV. PARAMETERS AND SYSTEMS

The effects of maximum iteration number ( $ite_{max}$ ) and different population size on the performance of NMAFSA algorithm are studied. This section also gives the detailed parameters of NMAFSA algorithm and three involved standard systems.

## A. Parameters

The simulation case which simultaneously minimizes  $F_{EM}$  and  $F_{BF}$  on IEEE 30-bus system is used to determine a feasible parameter-combination set. Fig. 2 gives the Pareto fronts (PFs) obtained by NMAFSA method with different *ite<sub>max</sub>*, which states that *ite<sub>max</sub>*=300 and 400 find the uniformly-distributed PFs. Due to their similar optimization performance, *ite<sub>max</sub>*=300 is adopted in these cases on IEEE 30-bus system considering the reduction of running time.

 TABLE I

 MAIN STEPS OF NMAFSA METHOD ON MOOPF PROBLEMS

nput:	the parameters of NMAFSA algorithm and the initial CANS set

begin ite=1

```
while ite<ite<sub>max</sub>
```

Perform the power flow calculation on the initial fish population and determine the current POS according to Fig. 1.

*for i*=1,2,...,0.01\* $\zeta_1$ \**N* 

Update the position of superior population based on formula (23); Retain the non-inferior individuals according to NIR strategy;

end for

for  $i=0.01*\zeta_1*N+1, 0.01*\zeta_1*N+2, ..., (1-\zeta_2\%)*N$ 

Perform the random update operation based on formula (24); Retain the non-inferior individuals according to NIR strategy;

```
end for
```

for  $i=(1-\zeta_2\%)*N+1, (1-\zeta_2\%)*N+2,...,N$ 

Update the position of inferior fish population based on formula (25); Retain the non-inferior individuals according to NIR strategy;

```
end for
```

Determine the current POS;

```
ite=ite+1;
```

Generate new CANS set;

```
end while
```

```
end
```

output: the ultimate POS

Besides, Fig. 3 gives the PFs determined by NMAFSA method and it clearly indicates that NMAFSA algorithm can obtain the satisfactory PFs with different population size.

The other parameters of NMAFSA method are set as:  $\zeta_1 = \zeta_2 = 20, N = 50, \delta_{step} = 0.3, ite_{max} = 300$  (IEEE 30-bus system), ite<sub>max</sub>=500 (IEEE 57-bus and 118-bus systems). In addition, each objective-combination trial is carried out 30 times independently.

#### B. Systems

Three power systems with different scales are used to validate the applicability of MPSO, NSGA-II and NMAFSA algorithms in dealing with the dual-objective and tri-objective MOOPF problems.

The structures of IEEE 30-bus and 57-bus systems are given in literatures [9, 18, 26]. The IEEE 30-bus system includes 24-dimensional control variables and IEEE 57-bus system includes 33-dimensional ones. The transformer taps are both limited within [0.9 1.1] p.u.. The shunt capacitors of 30-bus and 57-bus system, respectively, are limited within [0 0.05] p.u and [0 0.3] p.u.. The emission coefficients and other details are clarified in [6, 9, 27].

The MOOPF problem on complex IEEE 118-bus system with 128-dimensional control variables is also discussed to comprehensively evaluate the performance of NMAFSA algorithm. The structure and details can be found in [11, 18].



Fig.3 PFs with different population-size

## V. CASES AND RESULTS

Six simulation cases on three different-scale systems are studied in this paper.

#### A. Trials on IEEE 30-bus System

Two dual-goal and one triple-goal MOOPF cases are performed on the standard 30-bus system. In detail, Exp 1 aims to optimize  $F_{BF}$  and  $F_{EM}$ , Exp 2 aims to optimize  $F_{FV}$  and  $F_{EM}$ , Exp 3 aims to optimize  $F_{BF}$ ,  $F_{EM}$  and  $F_{AP}$  concurrently. 1) Exp 1

Fig. 4 shows the PFs obtained by NMAFSA and two comparison algorithms while TABLE II gives the details of best compromise solutions (BCSs). Fig.4 clearly indicates that MPSO method finds the worst PF while NMAFSA algorithm achieves the more advantageous one. The BCS of presented NMAFSA algorithm is composed of 0.2351 of  $F_{EM}$ and 830.79 of  $F_{BF}$ , which dominates the ones of MPSO and NSGA-II methods. TABLE II also gives the comparative result of other literature and it shows that the BCS of NMAFSA is better than the one of NHBA algorithm which includes 0.2375 of  $F_{EM}$  and 832.65 of  $F_{BF}$ .

2) Exp 2

Fig. 5 and TABLE III, respectively, give the PFs found by three mentioned algorithms and the details of obtained BCSs. Fig. 5 states that NMAFSA algorithm obtains the superior PF with better distribution although three methods have similar solution-diversity. TABLE III shows that the BCS of NMAFSA algorithm which consists of 0.2579 of  $F_{EM}$  and 855.83 of  $F_{FV}$  is more preferable than the ones of MOPSO and NSGA-II approaches.

TABLE II     BCS SOLUTIONS OF Exp 1					
independent variables	NSGA-II	MPSO	NMAFSA	NHBA [11]	
P <sub>G2</sub> (MW)	59.9725	61.0325	55.3090	58.1990	
P <sub>G5</sub> (MW)	22.9205	26.1819	26.9629	25.6741	
P <sub>G8</sub> (MW)	34.5538	34.9571	34.1204	27.0218	
$P_{G11}(MW)$	28.1903	25.5818	26.1740	26.3626	
$P_{G13}(MW)$	24.7072	24.3085	27.6256	31.3704	
V <sub>G1</sub> (p.u.)	1.0054	1.0875	1.0992	1.1000	
V <sub>G2</sub> (p.u.)	0.9930	1.0786	1.0910	1.0890	
V <sub>G5</sub> (p.u.)	0.9738	1.0560	1.0578	1.0537	
V <sub>G8</sub> (p.u.)	0.9973	1.0621	1.0762	1.0639	
V <sub>G11</sub> (p.u.)	1.0553	1.0841	1.0984	1.0880	
V <sub>G13</sub> (p.u.)	1.0882	1.0734	1.0791	1.0517	
T <sub>11</sub> (p.u.)	0.9834	1.0215	1.0686	1.0711	
T <sub>12</sub> (p.u.)	0.9376	1.0518	0.9160	0.9304	
T <sub>15</sub> (p.u.)	0.9677	0.9435	1.0299	1.1000	
T <sub>36</sub> (p.u.)	0.9430	1.0428	0.9864	1.0097	
Q <sub>C10</sub> (p.u.)	0.0234	0.0082	0.0387	0.0299	
Q <sub>C12</sub> (p.u.)	0.0167	0.0000	0.0288	0.0473	
Q <sub>C15</sub> (p.u.)	0.0059	0.0137	0.0119	0.0157	
Q <sub>C17</sub> (p.u.)	0.0077	0.0493	0.0441	0.0450	
Q <sub>C20</sub> (p.u.)	0.0301	0.0066	0.0476	0.0291	
Q <sub>C21</sub> (p.u.)	0.0097	0.0124	0.0108	0.0333	
Q <sub>C23</sub> (p.u.)	0.0023	0.0044	0.0312	0.0500	
Q <sub>C24</sub> (p.u.)	0.0286	0.0500	0.0137	0.0235	
Q <sub>C29</sub> (p.u.)	0.0208	0.0416	0.0300	0.0088	
$F_{EM}$ (ton/h)	0.2379	0.2352	0.2351	0.2375	
$F_{BF}$ (\$/h)	833.19	831.32	830.79	832.65	

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TABLE III
BCS SOLUTIONS OF EXP 2

independent variables	NSGA-II	MPSO	NMAFSA
P <sub>G2</sub> (MW)	60.8395	51.8749	62.3020
P <sub>G5</sub> (MW)	23.7605	22.9111	25.9040
P <sub>G8</sub> (MW)	21.9856	34.6631	32.9081
P <sub>G11</sub> (MW)	28.3491	18.4797	16.3379
P <sub>G13</sub> (MW)	17.0558	25.7165	18.8095
V <sub>G1</sub> (p.u.)	1.0587	1.0997	1.0923
V <sub>G2</sub> (p.u.)	1.0373	1.0893	1.0805
V <sub>G5</sub> (p.u.)	1.0132	1.0427	1.0649
V <sub>G8</sub> (p.u.)	1.0126	1.0667	1.0635
V <sub>G11</sub> (p.u.)	1.0521	1.0767	1.0690
V <sub>G13</sub> (p.u.)	0.9817	1.0530	1.0865
T <sub>11</sub> (p.u.)	0.9894	1.0778	1.0327
T <sub>12</sub> (p.u.)	0.9654	0.9073	0.9146
T <sub>15</sub> (p.u.)	1.0185	0.9694	1.0335
T <sub>36</sub> (p.u.)	0.9473	1.0512	0.9835
Q <sub>C10</sub> (p.u.)	0.0145	0.0000	0.0290
Q <sub>C12</sub> (p.u.)	0.0079	0.0117	0.0091
Q <sub>C15</sub> (p.u.)	0.0000	0.0086	0.0380
Q <sub>C17</sub> (p.u.)	0.0237	0.0299	0.0255
Q <sub>C20</sub> (p.u.)	0.0241	0.0500	0.0476
Q <sub>C21</sub> (p.u.)	0.0318	0.0070	0.0254
Q <sub>C23</sub> (p.u.)	0.0430	0.0000	0.0438
Q <sub>C24</sub> (p.u.)	0.0427	0.0051	0.0500
Q <sub>C29</sub> (p.u.)	0.0298	0.0345	0.0353
$F_{EM}$ (ton/h)	0.2638	0.2586	0.2579
$F_{FV}$ (\$/h)	860.96	859.28	855.83

## 3) Exp 3

A triple-objective experiment (*Exp* 3), which considers the simultaneous optimization of  $F_{EM}$ ,  $F_{BF}$  and  $F_{AP}$ , requires higher performance of suggested NMAFSA algorithm. The PFs of NSGA-II and NMAFSA algorithms are shown in Fig. 6 while the PFs of MPSO and NMAFSA are shown in Fig. 7. Both MPSO and NMAFSA algorithms achieves the uniformly-distributed and well-diversified PFs in contrast to NSGA-II algorithm. Furthermore, the PF of novel NMAFSA method is more superior to the one of MPSO algorithm.

Besides, the details of obtained BCSs of *Exp* 3 are given in TABLE IV. The BCS of proposed NMAFSA algorithm consists of 865.39 of  $F_{BF}$ , 4.3553 of  $F_{AP}$  and 0.2128 of  $F_{EM}$ , which surpasses the BCS of NSGA-II including 872.74 of  $F_{BF}$ , 4.8843 of  $F_{AP}$ , 0.2130 of  $F_{EM}$ . Furthermore, the BCS of NMAFSA algorithm is superior to the BCS of MPSO including 873.45 of  $F_{BF}$ , 4.6347 of  $F_{AP}$ , 0.2137 of  $F_{EM}$  as well. Additionally, NMAFSA algorithm also achieves the smaller  $F_{BF}$  and  $F_{EM}$  values comparing with MOFA-PFA algorithm in literature [28].

#### B. Trials on IEEE 57-bus System

One dual-objective case (*Exp* 4) and another triple one (*Exp* 5) are carried out on the standard 57-bus system. In detail, *Exp* 4 aims to optimize  $F_{BF}$  and  $F_{EM}$  at the same time. Meanwhile, *Exp* 5 aims to optimize  $F_{BF}$ ,  $F_{EM}$  and  $F_{AP}$  synchronously.

#### 1) Exp 4

Fig. 8 gives the PFs determined by NMAFSA algorithm and two comparison approaches while TABLE V gives the details of BCSs for *Exp* 4.



Fig. 8 intuitively indicates that NMAFSA algorithm achieves the significantly superior PF with satisfactory diversity in contrast to MPSO and NSGA-II methods. Besides, the BCS achieved by NMAFSA algorithm composed of 43114.71 of  $F_{BF}$  and 1.2421 of  $F_{EM}$  is better than the ones found by two comparative methods as well. To be more persuasive, the BCS of proposed NMAFSA algorithm also precedes the one of MODFA algorithm which is given in literature [18].

TABLE IV BCS SOLUTIONS OF EXP 3

independent variables	NSGA-II	MPSO	NMAFSA	MOFA-PFA [28]
$P_{G2}(MW)$	80.0000	58.1879	60.9741	57.890
$P_{G5}(MW)$	28.0558	41.6045	36.8206	36.290
P <sub>G8</sub> (MW)	35.0000	31.3610	33.6751	35.000
$P_{G11}(MW)$	26.7647	28.7171	27.6888	29.271
P <sub>G13</sub> (MW)	31.3583	30.4097	32.6088	40.000
V <sub>G1</sub> (p.u.)	1.1000	1.0810	1.0967	1.0985
V <sub>G2</sub> (p.u.)	1.0933	1.0757	1.0886	1.0869
V <sub>G5</sub> (p.u.)	1.0876	1.0553	1.0659	1.0625
V <sub>G8</sub> (p.u.)	1.0933	1.0452	1.0760	1.0767
V <sub>G11</sub> (p.u.)	1.0616	1.0462	1.0952	1.0857
V <sub>G13</sub> (p.u.)	1.0997	1.0240	1.0792	1.0386
T <sub>11</sub> (p.u.)	1.0877	0.9374	0.9952	1.0860
T <sub>12</sub> (p.u.)	0.9831	0.9686	1.0528	0.9930
T <sub>15</sub> (p.u.)	0.9688	1.0042	1.0664	1.0520
T <sub>36</sub> (p.u.)	1.0356	0.9711	0.9783	1.0770
Q <sub>C10</sub> (p.u.)	0.0000	0.0381	0.0462	0.0140
Q <sub>C12</sub> (p.u.)	0.0075	0.0293	0.0112	0.0220
Q <sub>C15</sub> (p.u.)	0.0058	0.0289	0.0431	0.0080
Q <sub>C17</sub> (p.u.)	0.0500	0.0400	0.0261	0.0250
Q <sub>C20</sub> (p.u.)	0.0354	0.0368	0.0075	0.0390
Q <sub>C21</sub> (p.u.)	0.0115	0.0021	0.0340	0.0270
Q <sub>C23</sub> (p.u.)	0.0419	0.0426	0.0211	0.0100
Q <sub>C24</sub> (p.u.)	0.0127	0.0236	0.0131	0.0170
Q <sub>C29</sub> (p.u.)	0.0189	0.0105	0.0357	0.0500
$F_{BF}$ (\$/h)	872.74	873.45	865.39	879.91
$F_{AP}\left(\mathrm{MW}\right)$	4.8843	4.6347	4.3553	4.2179
$F_{EM}$ (ton/h)	0.2130	0.2137	0.2128	0.2165



## 2) Exp 5

At present, there are only a few intelligent algorithms to study the triple-objective MOOPF problems on the IEEE 57-bus system. It is exciting that the NMAFSA method put forward in this paper has the potential to deal with the tri-objective optimization effectively.

Fig. 9 and Fig. 10 separately show the PF of NMAFSA algorithm compared with NSGA-II and MPSO algorithms. The diversity of PF obtained by NMAFSA clearly better than NSGA-II method, and the distribution is obviously superior to MPSO method. The control variables of BCSs found by three involved methods are listed in TABLE VI. TABLE VI states that the BCS of NMAFSA algorithm which is composed of 42605.49 of  $F_{BF}$ , 11.6947 of  $F_{AP}$  and 1.4151 of  $F_{EM}$  dominates the ones of NSGA-II and MPSO methods. Furthermore, NMAFSA algorithm also achieves the smaller  $F_{BF}$  and  $F_{EM}$  values comparing with MONBA-CPNS algorithm published in literature [22].

*Exp* 4 and *Exp* 5 indicate that the great advantage of NMAFSA algorithm in dealing with the non-convex MOOPF problem is more fully reflected on the larger-scale 57-bus system.

TABLE V BCS SOLUTIONS OF <i>Exp</i> 4				
independent variables	NSGA-II	MPSO	NMAFSA	MODFA [18]
P <sub>G2</sub> (MW)	99.8469	96.2005	98.7165	99.9703
P <sub>G3</sub> (MW)	101.5084	95.0937	90.5956	88.2975
P <sub>G6</sub> (MW)	99.6098	98.1370	100.0000	99.9135
P <sub>G8</sub> (MW)	287.1533	364.6798	355.8303	343.6324
P <sub>G9</sub> (MW)	99.8504	100.0000	100.000	99.9138
P <sub>G12</sub> (MW)	365.8721	304.5912	306.9302	310.8878
V <sub>G1</sub> (p.u.)	1.0006	1.0095	1.0621	1.0600
V <sub>G2</sub> (p.u.)	1.0007	0.9903	1.0553	1.0544
V <sub>G3</sub> (p.u.)	1.0007	0.9858	1.0590	1.0467
V <sub>G6</sub> (p.u.)	1.0007	0.9847	1.0556	1.0500
V <sub>G8</sub> (p.u.)	1.0007	0.9865	1.0609	1.0558
V <sub>G9</sub> (p.u.)	1.0007	0.9999	1.0497	1.0433
V <sub>G12</sub> (p.u.)	1.0007	1.0146	1.0657	1.0332
T <sub>19</sub> (p.u.)	0.9021	1.0814	1.0398	0.9916
T <sub>20</sub> (p.u.)	1.0996	0.9113	0.9460	0.9805
T <sub>31</sub> (p.u.)	1.0855	0.9814	0.9217	0.9972
T <sub>35</sub> (p.u.)	0.9325	1.0263	1.0917	0.9693
T <sub>36</sub> (p.u.)	0.9805	0.9383	0.9651	0.9646
T <sub>37</sub> (p.u.)	1.0992	1.0709	0.9805	0.9788
T <sub>41</sub> (p.u.)	0.9249	0.9378	1.0127	0.9570
T <sub>46</sub> (p.u.)	1.0710	1.0254	0.9585	0.9741
T <sub>54</sub> (p.u.)	1.0169	0.9000	0.9530	1.0310
T <sub>58</sub> (p.u.)	0.9007	0.9084	1.0421	0.9523
T59(p.u.)	0.9316	0.9843	0.9477	0.9452
T <sub>65</sub> (p.u.)	1.0125	1.0461	1.0001	1.0045
T <sub>66</sub> (p.u.)	0.9002	0.9473	0.9516	0.9344
T <sub>71</sub> (p.u.)	0.9567	0.9937	0.9295	0.9481
T <sub>73</sub> (p.u.)	1.0657	0.9313	0.9800	0.9621
T <sub>76</sub> (p.u.)	0.9018	0.9720	0.9786	0.9587
T <sub>80</sub> (p.u.)	0.9143	1.0350	1.0218	0.9703
Q <sub>C18</sub> (p.u.)	0.2026	0.0000	0.0254	0.1896
Q <sub>C25</sub> (p.u.)	0.1233	0.2104	0.2296	0.1191
Q <sub>C53</sub> (p.u.)	0.2773	0.1487	0.0539	0.0331
$F_{BF}$ (\$/h)	43876.06	43278.28	43114.71	43174.57
$F_{EM}$ (ton/h)	1.2643	1.2585	1.2421	1.2679

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IABLE VI       BCS SOLUTIONS OF Exp 5					
independent variables	NSGA-II	MPSO	NMAFSA	MONBA-CPNS [22]	
P <sub>G2</sub> (MW)	97.3371	69.4687	88.0998	99.1093	
P <sub>G3</sub> (MW)	96.5188	89.7803	85.6876	97.7004	
P <sub>G6</sub> (MW)	90.5771	91.7003	93.2268	89.4406	
P <sub>G8</sub> (MW)	319.1209	356.6882	345.1766	312.8840	
P <sub>G9</sub> (MW)	81.6383	98.4973	89.7787	98.3716	
$P_{G12}(MW)$	402.1791	365.8965	375.3263	404.5135	
V <sub>G1</sub> (p.u.)	1.1000	1.1000	1.1000	1.0940	
V <sub>G2</sub> (p.u.)	1.1000	1.0972	1.1000	1.0894	
V <sub>G3</sub> (p.u.)	1.1000	1.0929	1.1000	1.0883	
V <sub>G6</sub> (p.u.)	1.1000	1.0986	1.1000	1.0961	
V <sub>G8</sub> (p.u.)	1.1000	1.1000	1.1000	1.0980	
V <sub>G9</sub> (p.u.)	1.0999	1.0886	1.1000	1.0893	
V <sub>G12</sub> (p.u.)	1.1000	1.0796	1.1000	1.0830	
T <sub>19</sub> (p.u.)	1.0566	1.0452	1.0769	0.9756	
T <sub>20</sub> (p.u.)	0.9837	1.0491	0.9953	1.0194	
T <sub>31</sub> (p.u.)	1.0129	1.0873	0.9903	0.9533	
T <sub>35</sub> (p.u.)	1.0823	1.0501	1.0716	1.1000	
T <sub>36</sub> (p.u.)	1.0965	1.0250	1.0914	1.0631	
T <sub>37</sub> (p.u.)	1.0866	0.9953	1.0617	0.9934	
T <sub>41</sub> (p.u.)	1.0806	1.0954	1.0794	1.0238	
T <sub>46</sub> (p.u.)	1.0275	0.9764	1.0007	0.9594	
T <sub>54</sub> (p.u.)	1.0998	0.9333	1.0962	0.9938	
T <sub>58</sub> (p.u.)	0.9861	1.0182	0.9923	0.9738	
T <sub>59</sub> (p.u.)	1.0384	1.0286	0.9875	0.9791	
T <sub>65</sub> (p.u.)	0.9834	1.0092	1.0062	0.9907	
T <sub>66</sub> (p.u.)	1.0687	1.0070	0.9584	0.9709	
T <sub>71</sub> (p.u.)	0.9678	1.0447	0.9821	1.0038	
T <sub>73</sub> (p.u.)	1.0796	0.9708	1.0837	1.0997	
T <sub>76</sub> (p.u.)	0.9396	0.9983	0.9487	0.9763	
T <sub>80</sub> (p.u.)	1.0578	1.0768	1.0897	1.0077	
Q <sub>C18</sub> (p.u.)	0.0665	0.1633	0.1230	0.1225	
Q <sub>C25</sub> (p.u.)	0.2795	0.1959	0.2236	0.2179	
Q <sub>C53</sub> (p.u.)	0.2617	0.1385	0.1767	0.1676	
$F_{BF}$ (\$/h)	43119.96	42668.69	42605.49	43052.18	
$F_{AP}$ (MW)	12.6817	12.1123	11.6947	10.5961	
$F_{FM}$ (ton/h)	1.4429	1.4248	1.4151	1.42.92	



# C. Trials on IEEE 118-bus System

A dual-objective case (*Exp* 6) which aims to reduce  $F_{BF}$  and  $F_{EM}$  concurrently is performed on the complex IEEE 118-bus system. The PFs and the specific solutions of Exp 6, respectively, are given in Fig. 11 and TABLE VII. Fig. 11 intuitively shows that the PF found by MPSO is much more irregularly-distributed and the PF of NMAFSA is clearly advantageous to NSGA-II method.

The BCS, the boundary solution with minimal  $F_{BF}$  and the boundary one with minimal  $F_{EM}$  are given in TABLE VII. Specifically, the BCS of NMAFSA including 61719.19 of  $F_{BF}$ and 2.3569 of  $F_{EM}$  is more preferable than the BCSs of NSGA-II and MPSO algorithms. Furthermore, the NMAFSA algorithm put forward in this paper achieves 60144.08 of minimal  $F_{BF}$  and 2.1024 of minimal  $F_{EM}$ .

TABLE VII           Specific Solutions of Exp 6					
Exp 6 NSGA-II MPSO NMAFSA					
DCG	$F_{BF}$	61738.97	61849.58	61719.19	
DCS	$F_{EM}$	2.6834	2.6965	2.3569	
minimal F	$F_{BF}$	60784.76	60489.66	60144.08	
IIIIIIIIII I BF	$F_{EM}$	3.3625	3.3914	3.0520	
minimal $F_{EM}$	$F_{BF}$	64280.06	62841.95	63863.76	
	$F_{EM}$	2.2770	2.3950	2.1024	





2.5

Emission (ton/h)

3

3.5

6.05

6 2

NMAFSA

# VI. EVALUATION

In this paper, the convergence and distribution of PFs obtained by NMAFSA algorithm are discussed based on the iterative process and generation distance (GD) index.

## A. Convergence

Taking the *Exp* 1 as an example, the convergence of three mentioned algorithms is analyzed from the dynamic iterative process. Fig. 12 shows the iterative process of NMAFSA, MPSO and NSGA-II methods. It is not difficult to find that, the presented NMAFSA algorithm seeks the qualified POS which satisfies all *ERs* and *IRs* at the 57th iteration. The NSGA-II and MPSO find the POS with zero constraint violation at the 86th and 128th iterations, respectively. Thus, Fig. 12 proves the superiority of NMAFSA algorithm in fast convergence.

# B. Distribution

The distribution of obtained POS for four dual-objective trials in this paper (Exps 1, 2, 4, 6) is analyzed quantitatively based on GD index. The GD index is expressed as formula (27) and its definition can be found in [18, 21, 29].

$$GD = \sqrt{\sum_{i=1}^{N} de_i^2} / N \tag{27}$$

The smaller GD value represents the better distribution of obtained POS. The boxplots and average values of GD index for all dual-objective trials are shown in Fig. 13 and TABLE VIII. The closer boxplots and smaller average of GD index indicate that the PF of NMAFSA algorithm is more consistent with the reference PF. The smaller deviation values also validate that compared with MPSO and NSGA-II algorithms, NMAFSA algorithm achieves the more stable operation.



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## VII. CONCLUSION

The optimized operation of electric system, one of the most common practical engineering problems, has been widely concerned. In this paper, the novel NMAFSA algorithm with OSG guidance and NIR retention mechanisms is proposed to solve the complex MOOPF problems. Six dual-goal and triple-goal MOOPF trials on three different-scale systems are carried out to demonstrate the extensive applicability of suggested NMAFSA method. Plenty of results indicate that in contrast to MPSO and NSGA-II algorithms, NMAFSA algorithm obtains the evenly-distributed PFs and the more satisfactory BCS solutions. Besides, the iterative process and GD index also prove the great edges of NMAFSA algorithm in fast-convergence and better-distribution when dealing with the high-dimensional MOOPF problems.

As the representative of solving practical engineering problems with computer technology, the NMAFSA algorithm is of great significance to deal with the security-constrained MOOPF problems more effectively.

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